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3738

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Thomas J. Finn (602) 382-6208
Patents, Trademarks & Copyrights
tfinn@swlaw.com

October 15, 2001

VIA EXPRESS MAIL
NO. EL608992263US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Re: US Patent Application, Breck, et al.
Title: SYSTEM FOR FACILITATING A TRANSACTION
Serial No.: 09/800,461; Confirmation No.: 3738
Filing Date: March 7, 2001
File No.: 40655.0700

Dear Sir or Madam:

Enclosed are the following documents:

1. Fee Transmittal for FY 2001;
2. Checks nos. 283987 and 283994 totaling \$170.00;
3. Return Receipt Postcard (postage prepaid);
4. Petition and Fee to Correct Inventorship in a Patent Application Pursuant to 37 C.F.R. §1.48(a);
5. Preliminary Amendment;
6. Statement of Christina Chow Pursuant to 37 C.F.R. §1.48(a);
7. Declaration and Power of Attorney for Patent Application;
8. Consent of Assignee to Correct Inventorship Pursuant to 37 C.F.R. §148(a) and Statement Pursuant to 37 C.F.R. §3.73(b);
9. Recordation Form Cover Sheet and Assignment;
10. Supplemental Information Disclosure Statement; and
11. Supplemental Information Disclosure Citation (1 backer).

Please process the enclosed. Thank you.

Sincerely,

Snell & Wilmer

Thomas J. Finn
Reg. No. 48,066

TJF:bf
Enclosures

cc: Ms. Patrice Jacobson (AMEX)

1081890

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEES TRANSMITTAL for FY 2001

Oct 15 2001
Fees are subject to annual revision.

AMOUNT OF PAYMENT

\$170.00

Complete if Known

Application Number	09/800,461
Filing Date	March 7, 2001
First Named Inventor	Lydia Breck
Examiner Name	TBA
Group Art Unit	2161
Attorney Docket No.	40655.0700

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2814**

Deposit Account Name **Snell & Wilmer**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status. See 37 CFR § 1.27

Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<input type="text"/>
106	320	206 160 Design filing fee	<input type="text"/>
107	490	207 245 Plant filing fee	<input type="text"/>
108	710	208 355 Reissue filing fee	<input type="text"/>
114	150	214 75 Provisional filing fee	<input type="text"/>
SUBTOTAL (1)			<input type="text"/>

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <input type="text"/>	-20** = <input type="text"/> X <input type="text"/> 18.00 = <input type="text"/> 0.00	<input type="text"/>
Independent Claims <input type="text"/>	-3** = <input type="text"/> X <input type="text"/> 80.00 = <input type="text"/> 0.00	<input type="text"/>
Multiple Dependent <input type="text"/>		<input type="text"/>

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		<input type="text"/> \$0.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	<input type="text"/>
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139 130 Non - English specification	<input type="text"/>
147	2,520	147 2,520 For filing a request for ex parte reexamination	<input type="text"/>
112	920*	112 920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215 55 Extension for reply within first month	<input type="text"/>
116	390	216 195 Extension for reply within second month	<input type="text"/>
117	890	217 445 Extension for reply within third month	<input type="text"/>
118	1,390	218 695 Extension for reply within fourth month	<input type="text"/>
128	1,890	228 945 Extension for reply within fifth month	<input type="text"/>
119	310	219 155 Notice of Appeal	<input type="text"/>
120	310	220 155 Filing a brief in support of an appeal	<input type="text"/>
121	270	221 135 Request for oral hearing	<input type="text"/>
138	1,510	138 1,510 Petition to institute a public use proceeding	<input type="text"/>
140	110	240 55 Petition to revive - unavoidable	<input type="text"/>
141	1,240	241 620 Petition to revive - unintentional	<input type="text"/>
142	1,240	242 620 Utility issue fee (or reissue)	<input type="text"/>
143	440	243 220 Design issue fee	<input type="text"/>
144	600	244 300 Plant issue fee	<input type="text"/>
122	130	122 130 Petitions to the Commissioner	<input type="text"/> 130.00
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	<input type="text"/>
126	180	126 180 Submission of Information Disclosure Statement	<input type="text"/>
581	40	581 40 Recording each patent assignment per property (times number of properties)	<input type="text"/> 40.00
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179	710	279 355 Request for Continued Examination (RCE)	<input type="text"/>
169	900	169 900 Request for expedited examination of a design application	<input type="text"/>
Other fee (specify)			<input type="text"/>
*Reduced by Basic Filing Fee Paid			<input type="text"/>
SUBTOTAL (3)			<input type="text"/> \$170.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thomas J. Finn, Esq.	Registration No. (Attorney/Agent)	48,066	Telephone	602/382/6208
Signature			Date	October 15, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.